

Consulting Services

Howard Consulting is able to provide independent consulting to fund company boards, professional trustees and private capital investors in the following areas:

Investment Management Agreement Reviews

Examination of proposed investment agreement terms from the standpoint of seeking to safeguard investor interests and ensure fiduciary accountability of the underlying manager to the client. Particular focus may be applied to the proposed charges and performance fee arrangements to identify the degree of alignment achieved between the manager and the client.

Risk Management Policy Reviews

Assisting fund boards to assess the adequacy of risk identification exercises which may have been undertaken for the fund and the terms of their risk management policy. An adequate risk management policy informs the content and direction of board oversight discussions and should dovetail with the scope of compliance reporting to the board.

Conflicts of Interest Policy Reviews

Assisting with mapping conflicts of interest for fund boards or in respect of adviser conflicts of interest and assessing whether adequate disclosure or other conflicts management procedures have been adopted.

D&O Insurance

Examining the adequacy and scope of D&O insurance policies taken out by fund boards and other corporates and whether the coverage for directors and officers targets the principal risk issues to which such boards are exposed. The impact of sharing coverage limits with other entities, the acceptability of policy exclusions and the interaction with professional indemnity cover (PII) can be included.

For further information or advice please use the contact details below.